Project Brief: Libraries and Open Publishing Case Studies

Document Information

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Key Project Information

Project Sponsor:	Catherine Clark Program Director catherine.clark@curtin.edu.au
Program:	Advancing Open Scholarship
Project Start Date:	July 2021
Project End Date:	March 2022
CAUL National Office Contact:	Kate Davis Director, Strategy & Analytics kate.davis@caul.edu.au
Project Management Group:	Libraries and Open Publishing Case Studies Project Team
Related Projects:	Open Research Toolkit - in development by the CAUL/ARMS Open Research Working Group
Key Documents:	● Project Timeline ● Membership List – Project Team ● Terms of Reference – Project Team

Project Approval

CAUL Board of Directors:	14 July 2021

Project Overview

Background & Context	The Advancing Open Scholarship Program has been focussed for the last 18 months on reaching beyond libraries and the higher education sector to advocate for a FAIR strategy for Australia. This work continues with national leaders, however, there is now an opportunity to strengthen the 'bottom up' approach to advocacy and to demonstrate the value of libraries in the open publishing ecosystem. This project will develop a series of case studies of university libraries' open publishing initiatives, and accompanying researcher case studies that demonstrate the value of these initiatives. The case studies will be useful within

and beyond the library sector to support advocacy work. They will also provide examples of open publishing practice for others to learn from.

Objectives:	1. Develop a series of case studies that profile the open publishing strategies university libraries are developing and implementing. 2. Develop a series of case studies profiling researchers who have used the open publishing strategies implemented by libraries.
Brief Description of Project:	The Project Team will develop a series of case studies that showcase the strategies that university libraries are developing and implementing to distribute their universities' research outputs using various open publishing options. This will include a case study of an implementation of each of the following publishing strategies: ● Institutional repository ● University Press - journals ● University Press - monographs ● Subject and other repositories ● Transformative agreements. These publishing strategy case studies will describe the work of the featured institution, identify critical success factors, and provide evidence of impact. Additionally, the Project Team will identify one researcher who has published using each of these publishing options and develop a case study that profiles the researcher and the outcomes and impact of their adoption of the publishing approach. As part of this work, the Project Team will draw on existing approaches to developing impact narratives and practice case studies to develop a standardised approach for the case studies that can be adopted for future projects. The format of the case studies will be determined by the Project Team. A key aim for the project is to develop case studies that are suitable for a broad audience and can be used in advocacy activities beyond the university library sector.
Benefits:	The case studies will: ● provide strong evidence of the value of open publishing options. ● support the advocacy work being undertaken as part of the Advancing Open Scholarship program. ● highlight best practice initiatives across the university library sector.
Scope:	The project has been scoped to ensure it can be completed within a manageable timeframe. As such, a single publishing strategy case study and accompanying researcher case study will be produced for each open publishing strategies. The set of case studies may be expanded in the future.
Anticipated Deliverables:	● Five publishing strategy case studies. ● Five researcher case studies.
Anticipated Timeline:	● Establish Project Team - July 2021 ● Develop timeline - August 2021 ● Scope training needs - August 2021 ● Develop case study format - August 2021 ● Develop case studies - November 2021 ● Publish case studies - January 2021

Resource Requirements:	Personnel:	A Project Team comprising four or five staff from CAUL member institutions (a lead and three or four team members) will be established to undertake the project. It is anticipated that the workload will vary across the life of the project, but will not exceed approximately four hours per month. CAUL National Office will provide support for publishing the case studies on the CAUL website.
	Project management:	The Project Team will manage the project with support from CAUL National Office.
	Budget:	N/A
	Other:	N/A
Key Stakeholders:	- CAUL Member institutions - Universities Australia including DVCsR Committee - Chief Scientist - FAIR Steering Group - FAIR Roundtable participants - CAUL/ARMS Open Research Working Group	
Key Risks:	The following potential risks have been identified. Mitigation strategies will be identified in the project planning phase: - Inadequate resources available for the project. - Inability to identify strong case studies for all open publishing strategies. - Inability to engage academics to be profiled in case studies. - Case studies are not suitable for use in advocacy initiatives beyond the library sector, thereby limiting their value.	