

Discovering more about CAUL

Tuesday 22 February 2022

@CAULalert | #MoreAboutCAUL
 Slido #618793

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The event will begin at 12pm AEDT. (9am AWST, 10:30am ACST, 11am AEST, 11:30am ACDT, 2pm NZST)





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Welcome



Jill Benn Chair of CAUL, University Librarian, The University of Western Australia







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Acknowledgment of Country

The Council of Australian University Librarians (CAUL) acknowledges the Traditional Owners of Country throughout Australia and that the Council Members are located across all of Australia. We pay our respect to the Elders past, present and emerging, for all First Nations Peoples, wherever they are located.





Housekeeping

- **Cameras:** Feel free to leave yours on
- Microphones: Please stay on mute
- **Questions:** Login at slido.com using event code #618793 or use the QR code
- **Chat:** Feel free to use the chat for backchannel conversation
- **Recordings:** The event will be recorded and made available on CAUL's Vimeo channel

Slido #618793







About CAUL: Brief history, value, governance and the CAUL Board

Jill Benn

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More About CAUL: Defining and clarifying concepts, CAUL's committees and stakeholders

Mark Sutherland

Strategy, analytics and CAUL communities of practice **Kate Davis**

CAUL Content procurement services

Angus Cook

Q and A

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About CAUL

* Jill Benn | jill.benn@uwa.edu.au





A brief history of CAUL

- **1928** First meeting of Australian university librarians

- → 1965 Formation of the Committee of Australian university librarians
- **1992** (CA

Council of Australian University Librarians (CAUL) established

1995 Establishment of a CAUL office at ANU with an executive officer (Diane Costello)

2018 Comprehensive review of the CAUL office

2019 Incorporation as an association in the ACT; change to CAUL National Office

2020 Shift to virtual office, became a direct employer, decoupled from ANU

The C·A·U·L

MEMOIR



Vol 29 Nº :

Journal of the University College & Research Libraries Section of the Australian Library and Information Association ISSN 0004-8623

A special publication of AARL in association with CAUL

What is CAUL?

- CAUL is the peak leadership organisation for university libraries in Australia
- CAUL Members are the University Librarians of Australia and New Zealand
- CAUL's Vision: Society is transformed through the power of research, teaching and learning. University libraries are essential knowledge and information infrastructures that enable student achievement and research excellence
- CAUL is an Incorporated Association with a set of Strategic Priorities that further its goals as an association



What is CAUL?



- CAUL is a collaborative and inclusive place
- CAUL's programs and strategies are informed by CAUL Members
- CAUL provides much value to university librarians and to university libraries
- CAUL is an incredible support network enabling collaboration and interaction

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The Board



Jill Benn Chair of CAUL

University Librarian University of Western Australia



Carmel O'Sullivan Deputy Chair Chair of Finance, Risk & Audit Committee

Director, Library Services University of Southern Queensland



Committee University Librarian

Monash University

Chair of the Content Procurement

Robert Gerrity

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Constance Wiebrands Program Director, Respecting Indigenous Knowledge

University Librarian Edith Cowan University



Catherine Clark Program Director, Advancing Open Scholarship

University Librarian Curtin University

Executive Director, CAUL



Gwenda Thomas Program Director, Building Sustainable Leadership

University Librarian The University of Melbourne

Fiona Salisbury

Program Director, Enabling a Modern Curriculum

University Librarian University of Western Sydney



Mark Sutherland





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The National Office



Alisha Ashley Finance & Procurement Officer

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Angus Cook Director, Content Procurement



Cicy Zheng Engagement & Administration Officer



Kate Davis Director, Strategy & Analytics



Mark Sutherland Executive Director



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More About CAUL

Mark Sutherland | mark.sutherland@caul.edu.au



More about the National Office





- Supports the Board and Council in carrying out its agenda, particularly in relation to sector level services and strategic programs, and through secretariat services
- Ensures efficient and effective management of CAUL's operations as a small not-for-profit association running a multimillion-dollar procurement consortium. This includes finances. risk management, ongoing regulatory compliance, communications (including media releases), strategy implementation, event management, etc.



CAUL's Committees

Finance, Risk & Audit Committee (defined in the Constitution)

- Chaired by the Deputy Chair of CAUL (Carmel O'Sullivan), includes one other Board member, two National Office staff ED and FPO, and a finance/accounting practitioner from a CAUL member institution
- Terms of Reference on the CAUL website
- Maintains a Risk Register and monitors financial performance including reserves
- Oversees requirement for audited financial statements to be presented at the AGM
- Oversees requirement for annual return to Access Canberra, the regulatory authority for CAUL as an incorporated association

Content Procurement Committee

• Angus will cover this Committee

Corporate Documents repository: Governance including Board Charter and CAUL policies and procedures, Risk Management, Financial Management, Operations Contracts and Consortium Contracts





The Council

• The Council is the essence of CAUL

- **Biannual meetings** of the Council are an opportunity for the people who make up the Council to meet together to discuss broad strategy and hot topics in the academic library and related sectors
- Over time these meetings have become more **"conference style"** and many sessions have accordingly been opened up more and more to non-Council members, especially the next level of senior leaders who are Deputy ULs or equivalent
- The recent establishment of the **CAUL Deputy ULs Network** for this group of senior leaders will strengthen opportunities for their more formal involvement in future Council meetings
- AGM there is a legal requirement for an Annual General Meeting to be held and to be attended by Full Council Members or their nominated proxy (either another Full Council Member or a delegate from the same institution)

The Constitution of CAUL can be accessed on the CAUL website



Who or what is CAUL? Clarifying concepts

CAUL is an association of people (members) with a common interest and purpose. This association is incorporated under legislation, making it a legal entity.

- **Membership** of CAUL is defined by the Constitution of CAUL as an incorporated association
- **Full Council Members** are the people who hold the position of University Librarian or equivalent at each of the 39 universities with representation on Universities Australia (UA)
- Associate Council Members are the people who hold the position of University Librarian or equivalent at each of the 8 universities with representation on Universities New Zealand (UNZ) [CONZUL is a committee of UNZ comprising the 8 ULs or equivalent in New Zealand]

Note: The UL or equivalent at universities in ANZ that are not members of UA or UNZ, along with those at university colleges, are not eligible for membership of CAUL

CAUL Consortium Participants are the 47 ANZ universities that are Council Members plus 15 Other Consortium Participants (mainly research institutes and government departments). A Content Coordinator in each participant institution is the primary contact for the Consortium



Who or what is CAUL? Clarifying concepts

- Legal entity: CAUL as a legal entity provides a number of sector-level services including Content Procurement Services offered through the CAUL Consortium. The Consortium is not a legal entity. It comprises the participants associated with the service provided by the legal entity
- Member institution: As a council of people, CAUL is essentially a *membership association* rather than a *representative organisation* hence the term "member institution" is used when referring to the universities where the ULs or equivalent are employed
- The Board and representation: Although CAUL itself is not a representative organisation, the Full Council Members elect a Board not only to *govern* the association, but also to *represent* the Council Members in engaging with *stakeholders*, in leading *strategy*, and in articulating and promoting *positions adopted* by CAUL on sector-related matters. These positions are developed with member input and ultimately supported through sufficient consensus of the Council Members



Who or what is CAUL? Clarifying concepts

- Library staff in member institutions: CAUL may offer services and events for the benefit of library staff in member institutions, but does not represent these library staff in any way
- **Project teams, working groups and advisory groups:** From time to time, library staff in member institutions will have opportunities to participate in projects associated with CAUL's strategic programs, working groups (e.g., Analytics Portfolio Review WG) and advisory groups (e.g., Copyright Advisory Group that also works with UA)
- **Representing CAUL:** A Council Member may be nominated or endorsed by the Board to represent CAUL on an external body. At times this can extend to senior library staff in member institutions nominated to represent CAUL, for example in a cross-sector working group (e.g., Open Research Toolkit Working Group)





Stakeholders

- A CAUL Engagement Strategy and Stakeholder Engagement Framework was prepared for the Board in 2021 and will be discussed with a view to endorsement next month
- The 47 Council Members are the primary internal stakeholders of CAUL
- Every year, CAUL deals with approximately 300 external stakeholders that fall into four categories:
 - **Governance-related stakeholders:** regulatory, compliance, legal, risk, accounting, employment, banking, etc.
 - Sector-related stakeholders: higher education, research, academies, government, and GLAM (galleries, libraries, archives and museums)
 - **Operations-related stakeholders:** regular suppliers of a range of services to the CAUL National Office
 - **Consortium-related stakeholders:** participants in the CAUL Consortium (besides Council Members), publishers and content vendors with whom the Consortium conducts business, and other library consortia worldwide



Examples of CAUL's external stakeholders

Key governance and sector-related stakeholders



SCONUL

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Examples of CAUL's external stakeholders

Organisational memberships

IARLA













Related organisations







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Statement/response drafted by CAUL Lead and/or Program Director and Director, Strategy & Analytics Reviewed by relevant Program Director, Director, Strategy & Analytics, Executive Director

Reviewed by the Board Submitted and/or published to website, shared with Council





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Strategy

Kate Davis | kate.davis@caul.edu.au



The role of CAUL's strategic themes

- CAUL's strategic themes guide the Board in prioritising activities for the organisation
- Strategic activities include
 - Strategic work
 - Strategic engagement
 - Advocacy
 - Submissions, policy statements and responses
 - Practical work
 - Program projects
 - Events





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Strategic theme development







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Overview of current strategy

- Four strategic programs
 - Advancing Open Scholarship (Program Director: Catherine Clark)
 - Building Sustainable Leadership (Program Director: Gwenda Thomas)
 - Enabling a Modern Curriculum (Program Director: Fiona Salisbury)
 - Respecting Indigenous Knowledge (Program Director: Con Wiebrands)
- Programs Directors work closely with Director, Strategy & Analytics
- Equity, diversity and inclusion
- Program projects are formulated to advance the agenda of each program
 - Project teams comprised of Member institution staff
 - Project leads work closely with Program Director and Director, Strategy & Analytics
 - Currently over 90 Member institution staff working on 11 projects



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		🚈 Program 🔻	3 Start 🔹 3 End date		Project lead		Ab Project objectives	Ab Deliv
	IIIding Sustainable Leaders Count 2							
3	Learning from Bold Minds in Leadership	Building Sustainable Leadership	1/6/2021	30/6/2022	🤒 Kate Sinclair	🔵 In progress - on track	Provide professional development opportunities related to leadership for	1. Co pr
4	Senior Leader Development and Networking Project	Building Sustainable Leadership	1/6/2021	31/1/2022	🤒 Kim Tairi 🧕 Philip Kent	🔵 In progress - on track	 Investigate and report on national and international practice related 	Project includir
+								
	GRAM abling a Modern Curriculur Count 6							
5	CAUL Conference	Enabling a Modern Curriculum	8/6/2021	31/12/2022	O Nicole Johnston	ln progress - on track	Plan and deliver a face-to-face CAUL Conference that will take place in the	1. A Ct
6	Digital Skills GitBook	Enabling a Modern Curriculum	21/5/2021	31/12/2021	🤮 Sara King	In progress - milestone overdue	 Publish an open online guide to 'not-quite-technical' skills for 	1. Sແ 2. Bc
7	Enabling a Modern Curriculum with Students as Partners	Enabling a Modern Curriculum	1/6/2021	30/6/2022	Ollie Dollinger	🔵 In progress - on track	 Develop an understanding of, and report on, current practice related 	1. A re
8	Open Educational Resources Advocacy	Enabling a Modern Curriculum	1/6/2021	31/12/2022	😫 Adrian Stagg	In progress - milestone overdue	 Raise the visibility of the OER agenda for key stakeholder groups, 	1. Ac 2. To
9	Open Educational Resources Collective - Pilot	Enabling a Modern Curriculum	1/6/2021	31/12/2023	S Tahnee Pearse	ln progress - on track	1. Develop a proposal for a CAUL Open Resources Collective, for	1. Pr Ec
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Current strategic program activity

Advancing Open Scholarship

Program Director: Catherine Clark

- Libraries and Open Publishing Case Studies
- FAIR and Open Non-Traditional Research Outputs

Building Sustainable Leadership

Program Director: Gwenda Thomas

- Senior Leader Development and Networking Project
- Learning from Bold Minds in Leadership

Respecting Indigenous Knowledge

Program Director: Con Wiebrands

• Indigenous Knowledges Symposium

Enabling a Modern Curriculum

Program Director: Fiona Salisbury

- Open Educational Resources Collective Pilot
- Open Educational Resources Professional Development Program
- Open Educational Resources Advocacy
- Enabling a Modern Curriculum with Students as Partners
- CAUL Conference

Equity, Diversity and Inclusion

Responsible Board Member: Con Wiebrands

• Equity, Diversity and Inclusion Survey Project





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Analytics

* Kate Davis | kate.davis@caul.edu.au



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Overview

1953

1992

2018

2021

Data published in the News Sheet of the University and College Libraries Section, Library Association of Australia

CAVAL commences management of collection of statistics

Major changes to data elements, including a major reduction in number of elements; split into two databases

Appointment of Director, Strategy & Analytics; commencements of Analytics Portfolio Review & migration to new infrastructure

2022+ Data collection, presentation & analysis managed by CAUL

The CAUL statistics dataset from 1969 to 2019 is available to download from the CAUL website (no login required. 2020 data coming soon!)

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Analytics Portfolio Review

Project 1: Analytics Platform Development Project September 2021 – March 2022

Objectives:

- Implement a modern, scalable, robust and interoperable analytics stack to support the ongoing collection and management of CAUL statistics and the expansion of CAUL's analytics products.
- Develop procedures and documentation to support collection of CAUL statistics from the 2021 data collection period.

Deliverables:

- A modern analytics stack that supports capture, transformation, storage, analysis and reporting on CAUL statistics.
- Procedures to support collection of the 2021 CAUL statistics.
- Report for the Board on project outcomes.

Analytics Portfolio Review

Project 2: Analytics Portfolio Review Project

January 2022 – December 2022

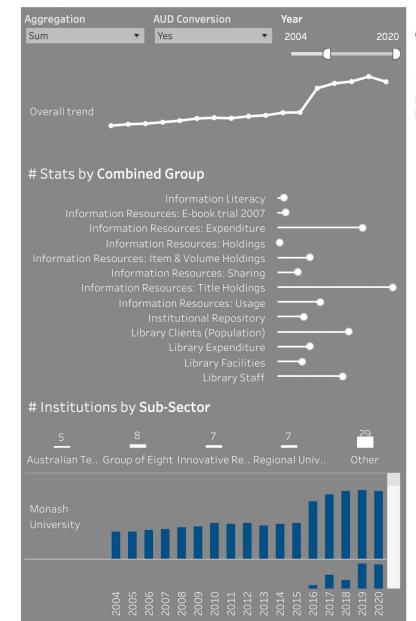
Objectives:

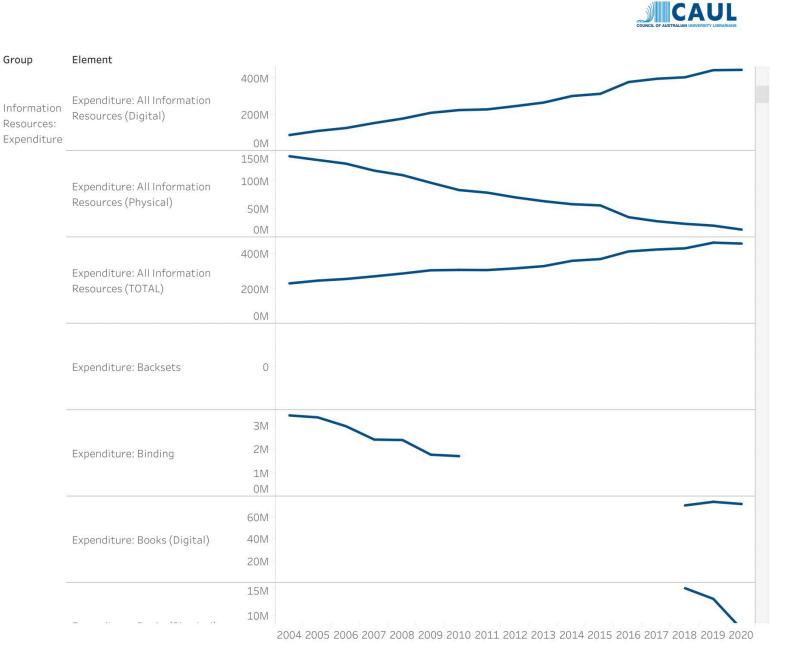
- Articulate the aims, objectives and purpose of the Analytics Portfolio.
- Address needs for data capture and analysis in specific areas of the CAUL business, including Content Procurement.
- Explore opportunities to improve benchmarking approaches.
- Develop a suite of analytics products that are published by CAUL on a regular basis.
- Develop guidelines and approaches to support analytics activities including surveys.
- Develop a governance model for the Analytics Portfolio.

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		Central Queensland University		245	244	109	435	240	338				
		Charles Darwin University				142	74	72			0	211	1,79
		Charles Sturt University		169	166	95	99	199	199	369	637	823	94
		Curtin University		2,566	2,342	1,911	1,689	1,452	1,998	1,863	2,230	1,247	1,76
		Deakin University		1,490	1,221	1,462	1,459	918	2,299	1,700	3,855	2,449	2,37
		Edith Cowan University		4,465	0		3,044	2,770	5,315	6,515	7,392	198	17
		Federation University Australia						96	120	119	95	84	4
		Flinders University of South Au		2,482	2,199	0	0	1,879	1,178	2,236	4,309	954	7
		Griffith University		1,046	762	601	387	455	261	307	358	69	8
		James Cook University		411	249	350	585	392	363	299	265	237	19
		La Trobe University		934	1,510	1,109	4,047	5,424	3,423	4,499	3,457	19,309	21,27
		Lincoln University		363	283	283	446	381	329	264	90	10	53

Longitudinal Longitudinal Detail Detail Download Expenditure on Scholarly Content Expenditure per Student

Longitudinal Dashboard







Communities of Practice

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Kate Davis | kate.davis@caul.edu.au



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Current CAUL Communities of Practice

Communities of Practice

- Limited membership / representative:
 - Digital Dexterity Champions
 - OER Collective Library Staff Community of Practice
 - OER Collective Academic Authors Community of Practice
 - Deputy University Librarians Network (formerly DALIANZ)
- Open CoPs:
 - Value & Impact Community of Practice
 - Transformative Agreements Implementation Community of Practice
 - Research Support Community of Practice (CAIRSS list)

Other

- Representative groups / key CAUL contacts:
 - Content Coordinators
 - Statistics Community / Contacts





Review of CoPs

Objectives

- Increased effectiveness of CAUL groups
- Increased visibility of CAUL groups
- Increased clarity about the role and function of CAUL groups
- Improved support for CAUL groups

Deliverables

- CAUL Groups Framework
- Template Community of Practice Charter for use by CAUL groups
- Report for the Board outlining recommendations



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March

Consultation with existing Communities of Practice

April

Develop documentation & report to the Board

May

Implementation

June

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Content Procurement

* Angus Cook | angus.cook@caul.edu.au



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COUNCIL OF AUSTRALIAN UNIVERSITY LIBRARIANS

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The CAUL Consortium

• Membership

- All 47 CAUL Council Members (39 Australian and 8 New Zealand universities)
- Plus 14 active External Consortium Participants (such as ANSTO, CSIRO, DSTG, some Crown Research Libraries in New Zealand and other research institutes and government departments).

• Staffing

- Director of Content Procurement (Angus Cook)
- Finance & Procurement Officer (Alisha Ashley)

(With support of the National Office and guidance and oversight of Board Director Bob Gerrity)

Consortium Agreements

- 113 Agreements including journals, eBooks, databases, services
- Includes 15 Open Access Agreements

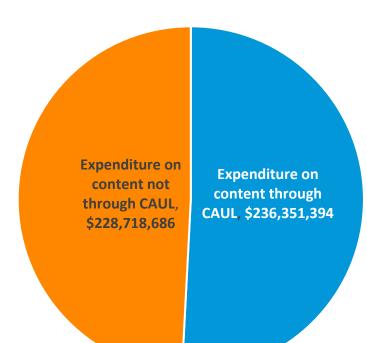


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The CAUL Consortium

University expenditure on scholarly content (2020)





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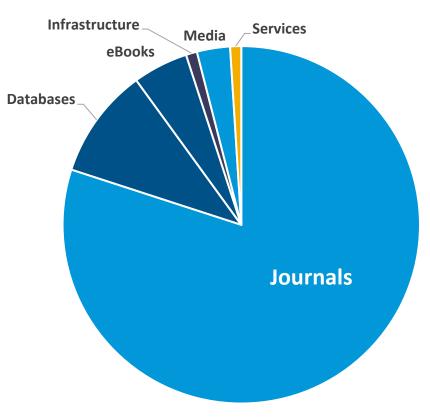


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The CAUL Consortium

CAUL Procurement manages agreements with the majority of large publishers including:

- Elsevier
- Wiley
- Springer Nature
- Taylor and Francis
- IEEE
- Sage
- APA
- IOP
- Plus 80+ other publishers and vendors



Agreements by Content Type

Content Procurement Committee

Governance of the Content Procurement Service – the Content Procurement Committee:

- Chair, who is the CAUL Board Director responsible for Content Procurement (Bob Gerrity)
- CAUL Member Representatives x 2
- Council of New Zealand University Librarians (CONZUL) Representative
- Content Coordinator Representatives x 2

Role of the committee:

- Advisory
- Committee members support procurement activities:
 - Participating in negotiations
 - Consulting with other CAUL members
 - Providing feedback and advice to CAUL Procurement





Content Coordinators

Content Coordinators:

- Every consortium member has a designated Content Coordinator
- Review and approve content purchases via consortium agreements
- Work closely with CAUL National Office staff and as a community to:
 - Share information about publishers and vendors
 - Provide feedback to CAUL on procurement activities
 - Contribute to consortium initiatives such as license reviews, surveys, product assessments



Benefits

Benefits to institutions

- Increased bargaining power through collective action
 - Preferential pricing
 - Product offerings
 - Licence Terms
 - Issue resolution
- Streamlined procurement workflows
 - CAUL agreements are sometimes exempt from institutional procurement procedures
 - CAUL invoices on behalf of some vendors
 - Efficient agreement lifecycle management and greater knowledge sharing across the membership

Procurement infrastructure

- FTE Models
- Licensing Models
- Customer Relationship Management (CRM, ConsortiaManager)



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Benefits

Benefits to Publishers and Vendors

- Work with one central authority instead of 61 individual institutions
- Approved agreements are trusted by CAUL members
- Access to CAUL Infrastructure
 - Established processes
 - Consistency





Open access

Open Access is a priority for CAUL Procurement

- First two Read and Publish agreements introduced late 2019
- Fast-Track Program to support small publishers introduced 2020
- 15 Agreements in total
- First large Read and Publish agreements commenced January 2022
 - Springer Nature, Wiley
 - Cambridge University Press, Oxford University Press
- New agreements to be negotiated throughout 2022 and beyond
- Seeking to support a variety of Open Access business models and infrastructure



Conclusion

More information about CAUL is available on the CAUL website <u>www.caul.edu.au</u> including the Member Prospectus

You can also talk to others in your institution who deal with CAUL regularly, in particular your:

- University Librarian or equivalent
- Content Coordinator
- Statistics Contact

Or feel free to send a question to caul@caul.edu.au

