



CAUL 2024 Agreement Vendor Response Form

Welcome to the CAUL Formsite portal for submitting renewal offers:

Thank you for partnering with the CAUL Procurement Service in providing quality scholarly content to CAUL consortium members.

CAUL asks that agreements align to CAUL values being:

- Inclusive
- Open and transparent
- Ethical
- Collaborative
- Participative
- Progressive

Instructions:

Vendors should review the [CAUL Agreement Principles and Guidelines](#) and the [CAUL Procurement Strategy 2023 to 2025](#).

All vendors submitting proposals for 2024 renewal agreements will need to complete this form, and upload documents, which forms the 2024 renewal agreement proposal in its entirety. Full instructions and submission deadlines can be reviewed at <https://www.caul.edu.au/services-programs/content-procurement-services/consortium-vendors/renewal-offers-2024-subscription>

You must complete all mandatory questions on each page before you will be allowed to progress to the next page.

While compiling answers for the submission, vendors can save responses and return to this form to edit further, at any point before final submission. CAUL asks that any changes after submission are not sent via are updated in this form instead and an email sent to consortium@caul.edu.au listing changes.

Your submission will not be actioned by CAUL until you have clicked 'Submit' at the end of the form.

First Name *

Last Name *

Position

Organisation Name *

Phone Number *

Email Address *

Agreement Name *

2024 Agreement Guidelines

1. Pricing

Prices should decrease, stay the same or any increases should be minimal and in line with institutional financial resourcing and be available to all CAUL consortium members regardless of type or location. In addition to being mindful of budget capabilities, suppliers should be understanding of other factors such as currency rate fluctuations.

Please state the percentage increase for 2024 as a percentage: * [?](#)

Please upload pricing documents here. This must include the 2024 ConsortiaManager spreadsheet supplied to you by CAUL. Other supporting pricing documents can be uploaded here too. *

 No file chosen

What metrics have been used to calculate pricing? What rationale is used? *

Pricing should be available to all Consortium members. Are any Consortium members excluded from being able to participate in the agreement?

*

If yes: Please provide justification as to why certain member types need to be excluded. CAUL's preference is that all consortium members are allowed to participate in any agreement. *

Does the product include journal content? *

Has the volume of paid for, subscribed content decreased (non-OA) due to an increase in OA or other reasons? *

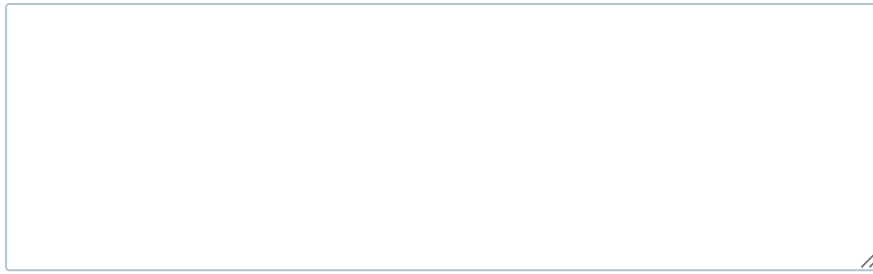
What percentage has the subscribed content decreased by? *

What pricing offset has been provided due to the reduction in subscribed content? *

What options for open access for Australian and New Zealand authored content are provided. *

- OA Agreement
- None
- Zero embargo for submission to institutional repositories
- Some embargo on submission to institutional repositories (please state number of months below)

"Big Deal Packages": What alternative options are available where institutions are no longer able to afford "Big Deal Packages"? *



Please confirm awareness that consortium members, and CAUL staff, may make institutional stakeholders including but not limited to procurement, legal, academic, executive and administrative staff aware of negotiation details, prior to, during and after any negotiation for CAUL consortium agreements. Open Access agreements may be shared on the ESAC Registry. See further questions below regarding confidentiality clauses in licences.

*

Yes

Licence changes

All license clause changes should be as per CAUL instructions on [license change notification](#), and submitted by August 31. License changes submitted after this date will not be considered. CAUL Model Licenses should be used where available.

Are there any license changes for 2023? *

If yes, please upload a "red-line" version in MS Word Version showing tracked changes here.

Please upload table in MS Word or Excel format showing previous clauses and new or changed clauses.

Please upload the license template to be used for 2024 Agreements

Agreement Information: 1.0 Licence conditions

1.1 30 Day Notice period, including notice period for non-renewal (Notice periods of more than 30 days may not be accepted) *

1.2: Agreements and pricing must be made available for all CAUL Consortium Participants regardless of location, or member type (UA, CONZUL or External). *

1.3 Is post-termination access included for some or all titles in subscribed years of package? *

1.3a: Please provide further details on post-termination access: *

1.4 Does the agreement include any non-disclosure clauses?

*

Licence

Pricing

None

Agreement Information: 2.0 Authorised usage

2.1 Authorised Usage: Authorised users include all institutional campuses (onshore and offshore) without additional costs. Do prices include all sites, campuses and enrolled students? *

2.1a Please provide details here. Agreements with site fees will not be automatically accepted. Please email consortium@caul.com.au to arrange a discussion. *

2.3 Authorised Usage: Authorised users include walk-in users *

▼

If walk-in users are not allowed, please provide details as to why not? *

2.4 Course Packs *

▼

2.5 Text and data mining allowed *

- No
- Yes - No fee
- Yes, - with a fee
- NA

2.6 Authorised Usage: Resource Sharing allowed for full text content including journal articles and eBook content (Please note [CAUL Model Clause for Resource Sharing](#) is preferred language).

▼

2.6a: Full-text providers will need to provide reason why CAUL's Model Clause for Resource Sharing is not included in the license, and confirm why CAUL members are being asked to act in a manner contrary to the 1968 Copyright Act, if no resource sharing or Interlibrary Loan (ILL) is allowed. *

Agreement Information: 3.0 Privacy and Security

Services must be provided within the privacy framework provided by the Privacy Act 1988 and Australian Privacy Principles and as per expectations and guidelines detailed in the [CAUL Procurement Guidance Statement to Vendors on Privacy and Unauthorised Access Processes](#). User data should only be collected for the purposes of provisioning and administering services.

3.1 In which country will data be stored? *

3.2 Please provide details on data breach processes, including processes and timeframes related to notifications to libraries related to shutdowns? *

3.3 Privacy and Security: Privacy Policy (Please attach copies of external documents, including pdfs of websites, links to policies and terms on websites will not be permitted). *

3.4 Privacy and Security: End user account registration *

3.5 Privacy and Security: How will personal data be used? *

- Personal Data used for provisioning and administering services and other purposes (e.g marketing)
- Personal data used only for provisioning and administering services

3.6 Privacy and Security: Data Security Plan: Please provide details or upload a copy of your organisations data and security plan. *

Please attach the Data Security Plan here.

Choose Files No file chosen

3.7 Privacy and Security: Please confirm applicable jurisdiction for privacy policy? Please select all that apply. *

- GDPR
- Australia
- New Zealand
- UK
- US
- Other (Please specify)

3.8 Privacy and Security: Authentication attributes required for personal accounts *

- Email
- Name
- None
- Other (Please specify)

3.9 Please select any of the following applicable for access to content - select all that apply. * ?

- Access via registration using Username and Password only, (Username may include email address)
- Access via registration using Username password plus additional user information
- Access via Single Sign-On
- Anonymous access via IP registration
- Anonymous access via middleware (EZproxy, OpenAthens)

4.1 Modern slavery and Human Trafficking statement: Please confirm that your organisation has a policy regarding Modern Slavery and provide a link or details if available *

4.1a Provide a link here *

4.1b Upload policy here

 No file chosen

4.2 Sustainability Policy: Please confirm if your organisation has a sustainability policy *

4.2a If yes, please provide a link or upload file *

4.2b Upload policy here

 No file chosen

4.3 WCAG: Please confirm current [WCAG](#) status for content platforms. *

4.3a: If none, or WCAG 2.0, please provide details on what steps are being taken to achieve WCAG 2.1 and when it will be achieved by. *

Agreement Information: 5.0 Title lists

5.0 Title Lists: Please confirm that your organisation will supply title lists to CAUL and Discovery service vendors and types of data? *

- CAUL
- Discovery Service Vendors (Ex Libris, OCLC, EBSCO, etc)
- KBART
- Brief record detail (Title ISSN only)

Please upload title lists here (KBART preferred).

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Agreement Information: 6.0 Usage Data

6.1 Does your organisation provide usage reports? *

- Yes
- No

6.1a: What plans does your organisation have to provide usage reports? (Please details timeframes for when reports will be available, report types, how reports will be supplied, and any other information about plans for usage report providing) *

6.2 What format are usage reports provided as? *

- COUNTER4
- COUNTER5
- Other format

6.2a: Please details what format usage reports are supplied as, including a list of data fields. Please detail any plans to migrate to COUNTER 5 reporting. *

6.3 Which Counter 5 reports are supplied: *

- TR_J1: Journal Requests (Excluding OA_Gold)
- TR_J2: Journal Access Denied
- TR_J3: Journal Usage by Access Type
- TR_J4: Journal Requests by YOP Excluding OA_Gold
- TR_B1: Book Requests (Excluding OA_Gold)
- TR_B2: Book Access Denied
- TR_B3: Book Usage by Access Type
- TR: Filter Title Master Report
- TR: Transition (R4 to R5)
- TR: Summary Report
- TR: Individual Title Report
- TR: SCONUL Report
- TR: All Titles Report
- TR: Top Titles Report
- PR_P1: Platform Usage
- PR: Filter Platform Master Report
- DR_D1: Database Search and Item Usage
- DR_D2: Database Access Denied
- DR: Filter Database Master Report

6.4 Which options do Member institutions have for accessing usage data (Please select all that apply): *

- Emailed automatically to institutions periodically as agreed
- Emailed to institutions on request
- JUSP
- None
- Self-service via web-platform
- SUSHI

Agreement Information: 7.0 Indemnity and liability

7.1 Indemnity: Licensee agrees to indemnify for breaches only if it has contributed to, ignored, or failed to remedy, breaches by authorised users after a reasonable notification period *

7.2 Please confirm liability due to end user actions is limited *

7.3 The Licensor warrants to the Licensee that use of the Licensed Material does not infringe the copyright or any other proprietary rights of any person. *

Product information and submission

Please provide a summary of your offer here, or upload as a separate file. Offer summaries should be no longer than two pages and summarise products or content proposed and their benefits. *

If you wish to upload your offer summary, please do so here.

 No file chosen

Please summarise content changes (as compared with previous year). *

Please summarise any platform, DRM, authentication changes or proposed changes. *

To finalise your offer please include the following documents:

Please upload any documents (Proposals, product documents, brochures) here. Maximum of 5 files.

Choose Files No file chosen

Thank you for completing this submission. By submitting you confirm that you are the officer authorised to submit pricing and documentation on behalf of your organisation and that information provided is correct and final.