The 2013 CAUL Research Publications Repository Survey will be available from 17 June and will close on 28 June. The survey is only for CAUL and CONZUL members and will take approximately 30 minutes to complete online. The information gathered in this survey will assist to identify, examine and analyse institutional practices and trends within Australia and New Zealand.

The 2013 survey has been revised to reflect suggestions made by repository staff and the CAUL Research Advisory Committee. In particular, please note the new questions regarding workflow practices, promotion and feedback and services to depositors. Even with these changes we will still be able to provide a comparison against previous survey results.

For the 2013 dataset, CAUL and CONZUL members only will be able to see institutional responses after authentication to the Research webpage. The 2013 summary report will be publicly available from the CAUL website.

Questions about the survey can be directed to Heather Gordon. Any technical difficulties completing the survey should be directed to Libby Cass or +61 2 6125 2990.

On behalf of CAUL Research Advisory Committee, I thank you in advance for your cooperation and participation in the survey.

Regards,

Heather Gordon

Chair, CAUL Research Advisory Committee
**General**

**1. Institution**


**2. Name and position title of respondent**


**3. Number of items available from the RPR? (Do not include items waiting to be processed)**


**4. Percentage of items available in full-text from your PRP?**

- Open Access: publicly available

- Open Access with embargo: publicly available after a certain period

- Institution Access: only available for users within our institution

- No open access: archived but not available at all

**5. Does your institution have any other repositories (in addition to your RPR)?**

- Yes
- No
- Unsure

Details (ie type – learning materials, data, etc)

**6. Does your institution have a long term strategy for your RPR? (eg a three year plan)?**

- Yes
- No
- Unsure

Please outline what it involves or provide a link to the document
**7. Does your institution mandate deposit in your RPR for:**

<table>
<thead>
<tr>
<th>Category</th>
<th>Yes</th>
<th>No</th>
<th>Unsure</th>
</tr>
</thead>
<tbody>
<tr>
<td>All research publications</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HERDC (Aust.)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Doctoral Theses</td>
<td></td>
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<tr>
<td>Masters Theses</td>
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<tr>
<td>Data</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Details**


**8. Are there any institutional policies that refer to your RPR? Eg Intellectual Property (IP), Academic Promotion, Open Access, etc.?**

| Option  | |
|---------||
| Yes     | |
| No      | |
| Unsure  | |

**Details**


### Staffing

**1. Institutional area/business owner responsible for the RPR?**
- Library
- Research Office
- Combination Library and Research Office
- Other (please specify)

**2. Current RPR staffing structure:**
Please include information about Position Title, HEW level / Grade / Band, and FTE.

**3. Has your RPR staffing increased since 2012?**
- Yes
- No
- Provide Details

**4. Has your institution undertaken any RPR staff costing exercises in the past 12 months?**
- Yes
- No
- Unsure
- Details
1. RPR software and version currently in use?

2. Has your institution changed RPR software in the last 12 months?
   - Yes
   - No
   - Unsure

   Please provide details

3. Is your institution planning to review/change RPR software in the next 12 months?
   - Yes
   - No
   - Unsure

   Details

4. Would your institution consider implementing open source repository software?
   - Yes
   - No
   - Unsure

   Details

5. Would your institution consider implementing hosted RPR software?
   - Yes
   - No
   - Unsure

   Details
Statistics

**1. Does your RPR software display repository wide usage statistics?**

- [ ] No. of downloads year/month
- [ ] No. of downloads by country
- [ ] No
- [ ] Unsure
- [ ] Other

Other (please specify)

**2. Does your RPR publicly display usage statistics for each digital item?**

- [ ] Yes
- [ ] No
- [ ] Unsure

Details

**3. Does your RPR have management/back of office statistics in use?**

- [ ] Yes
- [ ] No
- [ ] Unsure

Details
*4. How do you use your statistics? (select all that apply)

- [ ] Marketing/Promotion
- [ ] Academic Promotion
- [ ] Budgeting purposes
- [ ] Reporting to University Committees
- [ ] Reporting within Library
- [ ] Unsure
- [ ] Other

Other (please specify)

*5. What other types of statistics would you like to capture for/about your RPR that your repository software does not currently offer?

Other (please specify)
**Workflow**

*1. How are materials deposited in the RPR? (Select as many as apply)*

- [ ] Self-deposit by researchers and/or authors, no quality control by repository staff
- [ ] Self-deposit by researchers and/or authors, quality control by repository staff
- [ ] Deposit by Faculty/School administrative staff on behalf of authors, no quality control by repository staff
- [ ] Deposit by Faculty/School administrative staff on behalf of authors, quality control by repository staff
- [ ] Researchers and/or authors provide content to repository staff to deposit into the RPR
- [ ] Material is collected by repository staff independently of researchers and/or authors.
- [ ] Other

Other (please specify) 

*2. What Library staff are responsible for processing deposits in the RPR? (select as many as apply)?*

- [ ] Acquisitions staff
- [ ] Cataloguing staff
- [ ] Repository staff
- [ ] Lending staff
- [ ] Other

Other (please specify) 

*
3. Do authorised depositors sign a deposit agreement (e.g., click-through web form agreements)?

- [ ] Yes
- [ ] No
- [ ] Unsure

Please provide details

4. Does your RPR utilise a researcher identifier scheme (e.g., institutional IDs, Thomson Researcher IDs, ORCID IDs)?

- [ ] Yes
- [ ] No
- [ ] Unsure

Details

5. What metadata standards are used for your RPR?

- [ ] Dublin Core
- [ ] Other

Other (please specify)
6. Has any formal assessment been done on your RPR workflow?

- [ ] Yes
- [ ] No
- [ ] Unsure

Details
## Links to Research Systems

### 1. What research management system is in use at your institution?

- [ ] Research Master
- [ ] FileMakerPro
- [ ] Callista
- [ ] InfoED
- [ ] Peoplesoft
- [ ] Symplectic Elements
- [ ] Combination – (please specify)
- [ ] Other (please specify)

Combination or other (please specify)

### 2. CAUL Institutions: Is your RPR involved in the institutional HERDC data collection process?

- [ ] Yes
- [ ] No
- [ ] Unsure

Details

### 3. Is your RPR integrated into the workflow with your research management system?

- [ ] Yes
- [ ] No
- [ ] Unsure

Details
4. If YES, which direction does data flow between your RPR and your research management system (RMS)?

- RPR to RMS
- RMS to RPR
- Single entry point which feeds into both (e.g., Symplectic)

Please provide any other information which may be useful to understanding the flow of data

5. Is your RPR capable of tagging mandated deposited publications (e.g., ARC, NHMRC etc) and providing an identifier for them?

- Yes
- No
- Unsure

Details
Copyright

1. Do you use Sherpa/ROMEO?
   - Yes
   - No
   - Unsure

2. Do you provide a link to Sherpa/ROMEO from your RPR for end users?
   - Yes
   - No
   - Unsure

3. Do you store information about copyright deposit permissions?
   - Yes
   - No
   - Unsure

   If YES, how do you store copyright information?

4. Do you include/embed copyright permissions in the record metadata?
   - Yes
   - No
   - Unsure

   Details
5. How many take-down notices have you received in 2012/2013?

- None
- 1-5
- 6-10
- More than 10
- Unsure

Details

6. What staff are responsible for answering copyright questions related to your RPR? (select as many as apply)?

- Repository staff
- University Copyright Officer
- Research Office Staff
- Other

Other (please specify)

7. Has your institution undertaken retrospective submission of old theses into your RPR?

- Yes
- No
- Unsure

If YES please provide details of the process

Details
8. For retrospective theses conversions, do you seek permission from the thesis author prior to adding it to your RPR?

- [ ] Yes
- [ ] No
- [ ] Unsure

Details
Data Management

1. What data management system is in use at your institution?

- RedBox
- VIVO / VITRO
- MyTardis
- ORCA
- None
- Unsure
- Other (please specify)

Other (please specify)

2. Is data management at your institution integrated with your RPR? eg Linkages between your repository and data management solutions?

- Yes
- No
- Unsure

3. If YES, which direction does data / information flow between your RPR and your data management system (eg ReDBox, VITRO, etc)?

- RPR to Data Management System
- Data Management System to RPR
- Single entry point which feeds into both

Please provide any other information which may be useful to understanding the flow of data...
4. Is your institution planning to use the RPR to house research data metadata in the next 12 months?

- Yes
- No
- Unsure

Details

5. Have you implemented any of the following types of persistent identifiers in your RPR?

<table>
<thead>
<tr>
<th>Type</th>
<th>Yes</th>
<th>No</th>
<th>Unsure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Handles</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>DOIs</td>
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<td></td>
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<tr>
<td>Other</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

Comments

[Blank space for comments]
**Services**

1. **Does your RPR offer personal services for the depositing researchers and/or authors? E.g., automatically generated publications list, or author home page?**
   - Yes
   - No
   - Unsure

2. **What future services are being considered for your RPR? E.g., print on demand, publishing, etc?**
1. Do you use any tools to gather user feedback about the RPR?
- Yes
- No
- Unsure

If YES provide details as to what tools are used, eg survey

2. How have you used user feedback to improve and / or change the RPR?

3. What challenges has your institution faced in promoting the repository?

4. Is your RPR discoverable with any of the following search engines/portals (select all that apply)

- Google
- Trove
- Google Scholar
- OAI Search
- OAster
- ROAR
- Unsure

Other (please specify)
<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>Unsure</th>
</tr>
</thead>
<tbody>
<tr>
<td>*1. Is the RPR funded through the library's operational budget?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>*2. Do you receive any other funding to support the RPR?</td>
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</tr>
</tbody>
</table>

If Yes, from what source? Eg HERDC, Research Office, etc