Working with CAUL/CEIRC
- for (new) publishers and their (new) representatives ....

VALA2014
Tuesday 4 February 2014
what is CAUL ....

- 39 Universities Australia member libraries
- 1965 - Committee formed
- 1992 - name change to “Council”
- 1995 - full-time executive officer, now 3.7FTE staff
  - Education & advocacy – Liaison / Representation
  - Cooperative activities – Statistics, ULA, Performance Indicators & benchmarking, Research data & repositories support, CEIRC program
  - Secretariat, Committee support, Web site, Current awareness
what is CEIRC ....

(CAUL Electronic Information Resources Consortium)

- universities – 39 in Australia (CAUL members) and 8 in New Zealand, ranging in size from <4,000 FTE to >41,000 FTE
- government research organisations (180 to 6,000 staff FTE)
- government departments
- polytechnics and other higher education institutions

Total 69 institutions

Committee chaired by Philip Kent (until 2013, Andrew Wells), with 4 university librarians and 2 Datasets Coordinators – reports to CAUL
CEIRC ....

Since 1996 ....

- government funded ($A2m) trials of online resources (1994-1996) evolved into group purchasing facilitated through CAUL

- no government funding of information resources – the one exception was a capital injection for JSTOR in 2004 – research funds are distributed to libraries at the discretion of the university

- expansion of purchasing group from universities to NFP research organisations, government departments and other higher education institutions – group closed, saturation point

- expansion in the number & type of e-resources purchased – continuing

- expansion of central support from 1 FTE to 2.7 FTE
what is different about us vis à vis the US or European universities ....

- 80%+ of university library purchasing is off-shore, subject to the vagaries of currency fluctuations
- de-duplication of subscriptions has been underway for decades, leaving less room for reduction in base spend
- the move to e-only started in 1997, and is almost total now
- 50% of universities are multi-campus, but all with single administrations both for the library and the university – law schools and medical schools are not independent but are just other faculties of the university
- 37/39 universities are officially publicly funded, though the average public funding is close to 50% - the other two also receive government funds

#New Zealand is similar on all counts
how do we operate ....

- Start with members’ needs
- Alternative clauses for licences - no strict model
- Checklist for “negotiations” and some regular red flags e.g.
  - authorised user definitions
  - walk-in users
  - course-packs and e-reserve
  - inter-library loan
  - indemnity clauses

but
- No one, preferred pricing model (just not current spend)
- No minimum participation
- No schedule of negotiations
the role of the CAUL Office ....

- Instigation via member, publisher or office
- Negotiation/liaison re price & conditions
- Distribution of information re product, licence, price & trial via email list
- Collation* of members’ responses re trials, subscriptions and purchases
  - Participation list, IP addresses, contacts
- Maintenance of details re members and publishers on web site – the knowledge base
- Invoicing & payments

*except by agreement with vendor ....
decision-making ....

- self-selected consortium vs consortium licence
  - “Buying club”
- Datasets Coordinator - coordinates communication & decision by given date!
- all of consortium licence - an ideal which requires either
  - top-sliced or additional funding
  or
  - internal agreement about what is wanted and how much the individual institutions are prepared to pay for it
cost-sharing ....

- Determined by/with** publisher & passed on to group e.g.
  - Subscription history (current spend – was appropriate in its day but does not have the flexibility required – require parameters which change with the university’s requirements)
  - Percentage discount by volume
    - # Institutions
    - # Databases
    - # Titles
  - EFTSU / FTE - all or discipline-specific
  - Carnegie Classification (fairly blunt instrument, but not as blunt as some new tier models)

** see CAUL principles & framework for pricing models
cost-sharing ....

- Determined within consortium e.g.
  - Equal share
  - FTE-based – weighted, relevant
  - Usage-based – NOT in any direct way
  - Resources budget
  or
  - … a combination of the above e.g. 50% equal share (entry level) + 50% FTE-based
  - … or what it is worth to the institution e.g. NAAL (Alabama)
CEIRC Principles & Framework for Pricing Models

Principles

• Annual price increase capped at CPI
• Current print spend model is not valid so should not be a factor in price model
• Price model should be able to reflect changes in institution and environment over time – move with size (FTE) and nature (disciplines, research intensity) – both up and down
  – Build research performance into model as appropriate
  – Site pricing not compatible with online publishing
• Avoid volatile factors such as usage
• Different products may lead to different models
• Genuine approaches to flexibility
Framework for Approaching New Pricing Models

Sustainable pricing model should address:

• Rebased pricing model which reflects the product (type, discipline, level) and the subscribing university (size, weight, nature) constructed with parameters that are appropriate, readily available, transparent and valid

• Agreed annual price movements

• Agreed framework for adding and removing content

• Agreed timeframe for regular recalibration of based model, recognising that numbers may decline or increase

• Transition plan to the new model, dependent on quantum increase or decrease for subscribers, negotiated within CAUL
Information for new vendors ....

Useful links on the CAUL website (please bookmark):

  This page includes official FTE numbers, IP ranges and all contact details for members (all CAUL, CONZUL members and our external participants).

  This page includes links to our information about your company and its offers to CAUL/CEIRC.

  This page includes details of the most recent offer to CAUL/CEIRC for given products. (When the offer is superseded, the previous details are deleted from this page, but stay accessible under your company page on the web site.)

  This page provides resources for our members, but also includes links to model licences, and our very own model clauses - for use when any proposed licence includes an unacceptable clause.
CAUL Programs

The CAUL strategic plan emphasises collaborative activities which will contribute to the achievement of key objectives including:

- optimising student learning outcomes;
- maximising the potential of libraries to contribute to graduate attributes;
- maximising the information resources available to researchers, and the facilitation of their access;
- promoting continuous improvement in university libraries, and
- advocating effective policies and an appropriate legal and regulatory environment.

CAUL and its members are currently engaged in a range of major activities including:

- the CAIRSS (CAUL Australasian Institutional Repository Support Service), which has effectively superseded the Australian Digital Theses program;
- contribution to the enhancement of open scholarship through COSI and SPARC,
- University Library Australia, the national borrowing scheme for Australian university students and staff,
- cooperative provision, since 1982, of access to electronic journals & databases through the CEIRC program;
- determination of performance indicators for academic libraries;
Directory of Publishers & Suppliers

All publishers are also accessible from the menu bar on the left:
- A&C Black [Information for CAUL]
- Academic Press (AP) [Information for CAUL]
- ABC CLIO [Information for CAUL]
- ABEF (Australian Business Research Pty Ltd) [Information for CAUL]
- ACM (Association for Computing Machinery) [Digital Library Packets] [Information for CAUL]
- American Mathematical Society [Information for CAUL]
- ALDIS (see Gea Global Information, see also SilverPlatter) [Information for CAUL]
- Alexander Street Press [Information for CAUL]
- AltaLink [Information for CAUL]

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- American Academy of Audiology [Information for CAUL]
- American Academy of Neurology [Information for CAUL]
- American Academy of Pediatrics [Information for CAUL]
- American Anthropological Association [Information for CAUL]
- American Association for the Advancement of Science (AAAS) [Information for CAUL]
- The American Association of Critical-Care Nurses (AACN) [Information for CAUL]
- American Association of Law Libraries (AALL) [Information for CAUL]
- American Astronomical Society [Information for CAUL]
- American Chemical Society (ACS) [Information for CAUL]
- American Council of Learned Societies (ACLS) [Information for CAUL]
- American Geophysical Union (AGU) [Information for CAUL]
- American Geological Institute [Information for CAUL]
- American Heart Association (AHA) [Information for CAUL]
- American Institute of Physics (AIP) [Information for CAUL]
- American Mathematical Society (MathSciNet) [Information for CAUL]
- American Meteorological Society [Meteorological and Geostrophic Abstracts (MGA) [Information for CAUL]]
ABC-CLIO

This page is primarily for the information of CAUL/CEIRC members who wish to subscribe to this publisher's products and/or services. It provides information about offers to CAUL and CAUL-specific pricing, and links to relevant pages on the publisher's own website. For other information, please go directly to ABC-CLIO.

Products

See also Offers to CAUL/CEIRC - Licences - Technical/Sales Support - Statistics

World Religions, Belief, Culture, and Controversy (Academic)

This comprehensive reference resource provides a virtual textbook that covers religion across the globe, making student research on faith and belief across humanity easier, and enabling a deeper understanding of the complex issues facing us in the 21st century. (25/10/11)

ABC-CLIO eBook Collection

including History Reference Online

Tour of eBook Program,
eBooks - eBook Title List - All eBooks (24/7/08)
eBook Collections to 2005 (24/7/08)
eBooks - History Reference Online - Complete eBook Title List (24/7/08)

Licences

eBOOKS 2009 PURCHASE and SITE LICENSE AGREEMENT (24/9/10, valid 27/6/11)

History Reference Online Site License Agreement (23/7/08)

Signals Site License Agreement - America: History and Life, Historical Abstracts (ABC-CLIO) 15/6/04, still valid 22/9/06

Use of content in course packs or electronic reserve & linking directs to article level - CONTACT PERMISSIONS@abc-clio.com

Perpetual access conditions (for subscriptions to journals and books) - included in license section 1.1 (19/7/10)

Tuesday, 25 October 2011

Historical Abstracts and America: History & Life have been set up via EBSCOhost

NEWS (9/1/08) From Tim Colvey - I can advise that Historical Abstracts and America: History & Life have been set up via EBSCOhost for all Australian & New Zealand university customers. We are currently reviewing each customer's set up to ensure that HA and AHL are appearing on all appropriate EBSCOhost profiles (per customer). ...Additionally, we will send out a communication shortly to advise that HA and AHL are now available on EBSCOhost and that we request notification from customers if they cannot 'see' these databases via their individual EBSCOhost profiles.
Technical/Sales Support

Contacts: Deborah Harman Director, International Sales Tel: +44 1844 238448

Statistics

ABC-CLIO subscribers can now login for their usage stats with their email address. I presume that this will be the email address of the dataset coordinator, or the one who is registered when the subscription was setup. (1/9/06)

http://serials.abc-clio.com/reports/start?_appname=reports

COUNTER compliant statistics, and level of compliance. YES (19/7/10)

ABC-CLIO statistics login details for subscribers (8/9/05) [Members only]

CAUL Pricing / Offers to CAUL/CEIRC

Members only documents may be viewed from the display at the end of this page.

ABC-CLIO eBooks 2011 pricing for CAUL (11/5/11) [Members only]
- Includes Praeger Security International Online

ABC-CLIO eBooks 2010 pricing for CAUL (10/8/10) [Members only]
Offer to CAUL for 2009 - from ABC-CLIO (2/9/09) [Members only]
- Includes titles list for all imprints - ABC-CLIO, Greenwood, Libraries Unlimited, Praeger

2007 eBooks Proposal to CAUL (26/11/07) [Members only]
Titles pricing and selection sheet (27/11/07) [Members only]

ABC-CLIO History Reference Online - offer to CEIRC members (via DA, 11/3/06) [Members only]

For 2009 and later offers to CEIRC, see the EBSCO page (28/7/08)

Renewal Offer and Offer to New Subscribers for 2008 - All CEIRC Members (via DA, 23/7/07)
Renewal Offer and Offer to New Subscribers for 2007 - All CEIRC Members (via DA, 14/9/05)
Renewal pricing for 2006 (via DA, 16/8/05)

For latest pricing, see the ABC-CLIO site (11/5/05). Further details via DL Information Services (2/9/05).
Current offers to CAUL/CEIRC

Monday, 13 January 2014

The following table lists offers currently and previously available to CAUL/CEIRC members. For earlier offers, see links from the toolbar.

Survey of CAUL activities for budgetary purposes - 10 April 2005
Survey of CAUL activities for financial purposes - 18 March 2004
Survey of CAUL activities for staff (all) - 11 May 2004
2011 Journal Prices, including a Scientific Technical Medical list

March of August 2010 MLA Ad hoc Committee for Advocating Scholarly Communications, Medical Library Association (US) (2011/12)

<table>
<thead>
<tr>
<th>Date of notification</th>
<th>Publisher (link to CAUL web pages)</th>
<th>Productive</th>
<th>Title, Expressions of Interest, etc</th>
</tr>
</thead>
<tbody>
<tr>
<td>10/7/14</td>
<td>Adam Matthew Digital</td>
<td>Adam Matthew Digital Collections</td>
<td>Firstly available: apr 2011, renewal 2012/13</td>
</tr>
<tr>
<td>2/11/13</td>
<td>Politeia: Hebrew Laboratory Archive</td>
<td>CSHL Journals (Package A, Package B, CSH Core Collection in Biology, individual titles), Monographs Archive, Symposia Archive</td>
<td>Firstly available: apr 2012, renewal 2013/14</td>
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</table>
Cold Spring Harbor Laboratory Press

This page is primarily for the information of CAUL/CEIRC members who wish to subscribe to this publisher's products and services. It provides information about offers to CAUL and CAUL-specific pricing, and links to relevant pages on the publisher's own website. For other information, please go directly to Cold Spring Harbor Laboratory Press.

Products

See also Offers to CAUL/CEIRC - Licenses - Technical/Sales Support - Statistics

CSHL Journals Package
- Genomics & Development
- Genome Research
- Learning & Memory
- TFDB
- Cold Spring Harbor Perspectives in Biology
- CSHL Protocols

Cold Spring Harbor Laboratory Press current pricing for CAUL/CEIRC
published 21/1/2013; trials available, end 20/1/14; renewals 20/1/14; invoicing via CAUL (lbc)

CSHP vendor arrangements in flux
EBSCO has recently been
CEIRC Program Participants (Datasets Coordinators)

CEIRC Members are comprised of three categories - the Australian (CAUL) and New Zealand (CONZUL) universities - and our external participants, from other higher education and research institutions and government departments in Australia and New Zealand.

Whenever possible, all interested participants are included in CAUL-negotiated agreements with publishers and other suppliers - in some cases, non-academic institutions may be excluded or offered different terms.

- CEIRC program participants - CONZUL
- CEIRC program participants - External

The CAUL FTE numbers are official government figures from the Department of Industry, Innovation, Climate Change, Science, Research and Tertiary Education (DIRCITE).

The CONZUL student FTE numbers are also official government figures and staff numbers available under Human Resources.

Australian (and New Zealand) universities student FTE numbers by "narrow discipline group" and level of course (updated 15/10/13, 2012 figures). For further breakdowns of Australian narrow disciplines, see Annex 5, Fields of Education classification (Post 2000) (a component of the Australian Standard Classification of Education - ASCED); Australian Bureau of Statistics 1272.0 Commonwealth of Australian Register of Institutions and Courses for Overseas Students (CRICOS)

Start
eResources & Licence Management

CAUL statement on confidentiality in licences for electronic resources
Approved by the CAUL Executive Committee 24 June 2011
CAUL statement on non-disclosure and confidentiality clauses in licences
(approved by the CAUL Executive Committee, 24/6/11)

CEIRC Licence Management

Vendor response form re licence conditions - for making proposals to the CAUL/CEIRC consortium, adapted from checklist above (10/9/07) [Members only]

Vendor terms & conditions - What adds value to CEIRC agreements - CEIRC Survey 2005 (16/3/06)

CEIRC Survey 2005 Analysis & Summary & Actions [Members only] (30/1/06)

Redmayne

CEIRC Licences - Audit of Perpetual Access Provisions - 2007 (Colleen Cleary, 2/10/08) [Members only]

CEIRC Licences - Model Clauses (for use when vendor’s clause is unacceptable)

Template for consideration of changes to CAUL/CEIRC licences (7/4/08) - The completed template of applications for change will be made available from the web site alongside the applicable licence.

Termination clauses in licence agreements - Philip Kent (updated 25/10/08) [Members only]

IP blocking - publishers’ procedures for handling suspected download abuses (23/3/08, and updated as messages received) [Members only]

see also: Datasets Coordinators Meeting (Melbourne, 4 February 2008)

Dataset auditing - management of titles list of CAUL-specific packages (23/4/07)

CEIRC Titles Lists - provision to Serials Solutions and other ERMs (12/4/08)

Vendor statistics (4/7/08) - note other links may be provided on the vendors “information for CAUL” pages.

e-Resource Management Tools

TERMS: Techniques for electronic resource management - Graham Stone, University of Huddersfield, and Jill Emery.

Portland State University have launched TERMS to look at each of the vendors in the e-resources market (18/12/08)
Diane Costello
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