

# CAIRSS and OAKList An Update

Judy Stokker & Helen Livingston

# So far ...

- CAIRSS service commenced March 2009
- USQ and Swinburne (Copyright)
  - Project manager Katy Watson
- Steering Committee
  - Helen Livingston (UniSA) Chair, Judy Stokker (QUT), Heather Gordon (JCU), David Groenewegen (ANDS)

# Progress

- Agreed project reporting
- Quarterly reports
- Web site set up <http://cairss.caul.edu.au/www/>
- Toolkits available
- Presentations @ EDUCAUSE, Copyright Forum, QULOC

# Forum for repository managers

- Teleconferences with Repository Managers collate and communicate issues back to institutions.
- ELists 104 members, Blog, Twitter, Delicious, photo gallery
- Assisted with Vital User group
- Organise CAIRSS Community Day (Sunshine Coast 30 Nov-1 Dec)
- Assist with technical issues

# Support for ADT and MACAR

- ADT Options Paper at next Steering Committee
- IR Metadata teleconference held 18<sup>th</sup> September

# Best practice and ERA Assistance

- Creative Works Metadata examples
- Harvesting advice
- VITAL & Digital Commons configurations for ERA
- Provide [Snapshots](#) of repository activity
- Liaise with ANDS

# Governance

## Steering Committee

- Initially to be reviewed mid 2009
- July CAUL Exec CEIRC model
  - 3 CAUL members (one representing the Exec) plus 2 experienced practitioners
  - Service providers to attend meetings

# OAKList

## Database of Publisher Policies on Open Access

<http://oaklist.qut.edu.au>

The screenshot shows the OAKList Database website interface. At the top left is the QUT Queensland University of Technology logo. The top right features the text 'a university for the real world' and 'Faculty of Law'. A navigation bar includes 'QUT Home', 'Law Home', 'Contact us', and a search box. The main content area is divided into several sections:

- OAKList Database:** A search section with a text input field for 'Search For', a 'Search the OAKList database.' instruction, and a 'Search the OAKList' button. Below this are 'Include' checkboxes for 'Publishers' and 'Publications', and an 'Order By' dropdown menu set to 'Search Score'.
- Browse by Record Colour:** A section with radio buttons for 'All', 'Green', 'Blue', 'Yellow', and 'White', and a link for 'What the colours mean. How to search the OAKList'.
- News and Events:** A list of recent updates, each dated '07-May-2009', such as 'A new Publication has been added to the OAKList - Cultural Science Journal'.
- About OAKList:** A section with links for 'Contact', 'Background/History', and 'Our Mission'.
- Guides to Open Access Publishing:** Three boxes for 'Authors', 'Publishers', and 'Repositories', each containing a brief description of the guide's content.
- Resources:** A section with links for 'OAK Law Project', 'SHERPA', and 'SURF'.
- Quicklinks:** A section with links for 'Creative Commons', 'Open Access Journals', 'Peter Suber's Blog', 'Ulrichs Periodicals', and 'Australian Repository Links'.

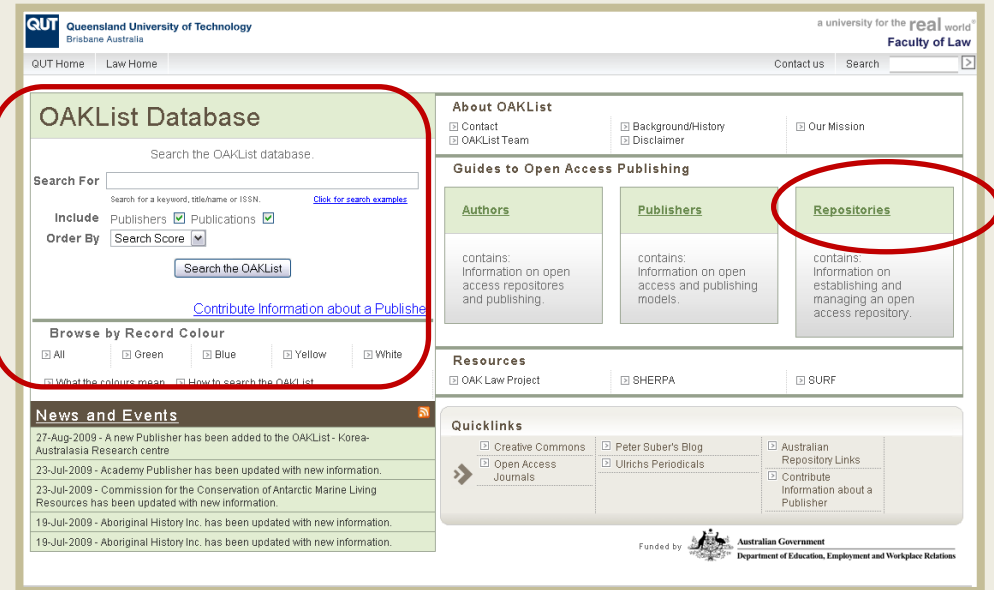
At the bottom, there is a footer with contact information and a logo for the Australian Government Department of Education, Employment and Workplace Relations.

September 2009

# Background Information:

- **OAKList** is a freely accessible online database of information about the copyright policies of Australian publishers of scholarly journals: <http://www.oaklist.qut.edu.au/>
- Established by the **OAK Law Project** in 2007 as a supplement to the SHERPA-RoMEO database in the UK.
- Funded by the Australian Government under the Systemic Infrastructure Initiative (SII) for 2007-2008.

# Purpose of OAKList



## For Repository Managers

- **To provide guidance on managing the legal issues related to repository management**
- **To clarify what authors can and cannot do with their article once it has been published in the journal including;**
  - **who owns the copyright** under the terms of the standard publishing agreements used by various Australian publishers
  - **the conditions and or restrictions** around placing a copy in a repository

# Purpose of OAKList

The screenshot shows the OAKList Database website. The main search area is highlighted with a red circle. It includes a search bar labeled 'Search the OAKList database.', a 'Search For' field, and a 'Search the OAKList' button. Below the search bar, there are options for 'Include' (Publishers, Publications) and 'Order By' (Search Score). The website also features sections for 'About OAKList', 'Guides to Open Access Publishing' (with sub-sections for Authors, Publishers, and Repositories), 'Resources', and 'Quicklinks'. The footer mentions funding by the Australian Government Department of Education, Employment and Workplace Relations.

## For authors

- To enable authors to **identify publishers and journals** that offer favourable publishing terms
- To offer a suite of **model copyright addenda** that authors can use if they wish to modify an unfavourable agreement

# Purpose of OAKList

The screenshot shows the OAKList Database website. The main navigation bar includes 'QUT Queensland University of Technology Brisbane Australia' and 'a university for the real world Faculty of Law'. The 'OAKList Database' section features a search bar with the text 'Search the OAKList database.' and a search button. Below the search bar are filters for 'Include' (Publishers and Publications) and 'Order By' (Search Score). A red circle highlights this search and filter area. Another red circle highlights the 'Publishers' link in the 'Guides to Open Access Publishing' section, which is part of a table with columns for 'Authors', 'Publishers', and 'Repositories'. The 'Publishers' column contains the text 'contains: Information on open access and publishing models.' The 'News and Events' section at the bottom lists several updates, such as '27-Aug-2009 - A new Publisher has been added to the OAKList - Korea-Australasia Research centre'.

## For Publishers

- To enable journal publishers to benchmark their policies against those of similar journals
- To offer a suite of **model publishing** agreements in case a publisher wished to update a current agreement

About the publisher

Rights retained by author

Who owns copyright

Conditions to be observed

<b>Title :</b>	<b>Asian Coordinating Group for Chemistry ( ACGC )</b>
	The Asian Coordinating Group for Chemistry (ACGC) is an ad hoc committee of UNESCO comprising representatives of UNESCO Regional Networks and other organisations active in the promotion and development of chemistry in Asia. ACGC, which was formed in 1984, meets annually to review and coordinate programs/activities in chemistry in Asia. It publishes the ACGC Chemical Research Communications journal.
<b>Rating :</b>	<b>Green</b> can archive pre-print and post-print
<b>Pre-Print :</b>	✓ Author CAN archive preprint (i.e. submitted version - pre-refereeing)
<b>Post-Print :</b>	✓ Author CAN archive postprint version (i.e. accepted version - post refereeing)
<b>Publisher Version :</b>	✓ Author CAN archive publisher version
<b>Copyright Information :</b>	Copyright retained by author.
<b>Conditions :</b>	1. Published source must be acknowledged
<b>Links :</b>	<a href="#">Home</a>
<b>Publications :</b>	<a href="#">ACGC Chemical Research Communications</a>
Last Update : 02-Jun-2009 <span style="float: right;">Update this record</span>	

Link to publisher website

Link to journal record

# QUT Library's contribution to the OAKList Project

- In November 2008, QUT Library agreed to take over the management of OAKList for an additional seven months to:
  - extend the coverage (i.e. number of publishers covered) 268 new publisher records were added to the database during QUT Library's period of stewardship (570 journal titles)
  - develop a streamlined, sustainable workflow for content contributors and content reviewers
- Feedback was gathered from 'inactive' participants in early 2009 via a telephone and email survey.
  - revealed that the process for contributing content was perceived to be too time-consuming or too complex

# OAKList Improvements

- Simple webform developed as the front-end for content contributors.
- Now, anyone with information to contribute (about a publisher's copyright policy) can submit the information.
- Model publisher agreements provided
- Simplified the process for publishers to respond by providing a checklist

**Contribute to the OAKList**

Please fill in as much information as you can. Required fields are marked with an asterisk (\*).

Publisher Name\*:

Publisher Homepage\*:

Can author archive the preprint (submitted version)?\*:

Can authors archive the postprint (accepted version)?\*:

Can author archive the publisher version?\*:

Restrictions: There are no restrictions detailed in the preprint, postprint or publisher summaries shown above.

Conditions:

Acknowledgment:

Links:

Archiving before publication:

Location of archive copy:

Commercial reuse conditions:

Specific version must/must not be used:

Condition(Other):

✦Add Condition

Copyright Information:

Link to Copyright Policy/Agreement - Please insert URL:

OR Upload Policy/Agreement:

Titles of Journals published by this publisher?: There are no journal titles attached to this Publisher.

✦Add Journal Title

Source of information / additional comments (keep confidential)\*:

Your Name (keep confidential)\*:

Your Email Address (keep confidential)\*:

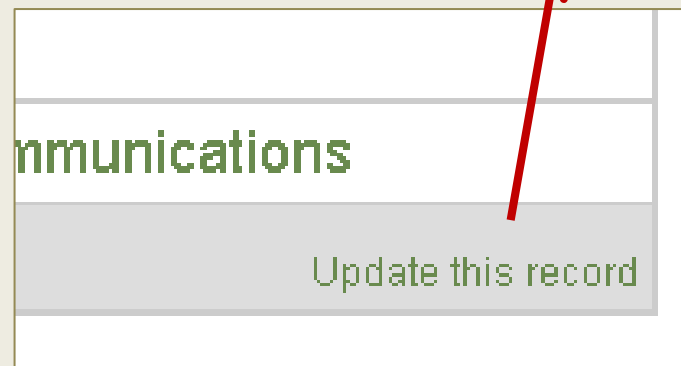
Please enter the text shown in the graphic into the box below it.\*:

[Contribute Information about a Publisher](#)

# OAKList Improvements

- Current records can also be updated with new information via an 'Update' form that can be accessed via a link in each record
- Publishers can be directed to this link if they request changes to the record.

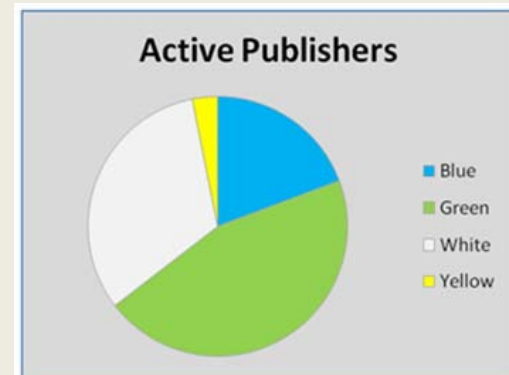
<b>Title :</b>	<b>Asian Coordinating Group for Chemistry ( ACGC )</b>
	The Asian Coordinating Group for Chemistry (ACGC) is an ad hoc committee of UNESCO comprising representatives of UNESCO Regional Networks and other organisations active in the promotion and development of chemistry in Asia. ACGC, which was formed in 1984, meets annually to review and coordinate programs/activities in chemistry in Asia. It publishes the ACGC Chemical Research Communications journal.
<b>Rating :</b>	<b>GREEN</b> The article is available and available
<b>Pre-Print :</b>	✓ Author CAN archive preprint (i.e. submitted version - pre-refereeing)
<b>Post-Print :</b>	✓ Author CAN archive postprint version (i.e. accepted version - post refereeing)
<b>Publisher Version :</b>	✓ Author CAN archive publisher version
<b>Copyright Information :</b>	Copyright retained by author.
<b>Conditions :</b>	1. Published source must be acknowledged
<b>Links :</b>	<a href="#">Home</a>
<b>Publications :</b>	<a href="#">ACGC Chemical Research Communications</a>
Last Update : 02-Jun-2009 <span style="float: right;">Update this record</span>	



# OAKList Improvements

- The OAKList website offers a **single interface** via which people can search:
  - **OAKList database** (which currently includes information about 268 Australasian publishers),
  - **SHERPA-RoMEO** database (which includes information about 600+ international publishers)
  - **DOAJ database** (which includes information about 3500+ open access journals);

Completed Records:	Publishers	Publications	Total
<b>OAKList Project Team:</b> Publisher and associated publication records completed by the <u>OAKList</u> Project team during the extension period (November 2008- June 2009)	226	400+	626 approx
<b>Participant Universities:</b> Publisher and associated publication records created by participating universities and approved by the <u>OAKList</u> project manager during the extension period (November 2008- June 2009)	30	71	101
<b>Total number of completed records in the <u>OAKList</u> database</b> as of 26 <sup>th</sup> June 2009. This includes records completed prior to the extension period.	268	570+	838 approx



# CAIRSS - Sustaining OAKList

- OAKList staff replaced by 'Peer Reviewers' who will review new records and, if they agree with the conclusions of the contributor, they will move the record to the public site and send a confirmation email to the publisher. (Guidance is available and the process has been streamlined).
  - Result: A sustainable model
    - workload is distributed
    - Database content is 'owned' by the community of users.

# CAIRSS - Sustaining OAKList

- QUT Library maintains the OAKList website on a Library server
- CAIRSS will communicate with the Australian repository community to promote OAKList and will encourage repository managers to be 'peer reviewers'.
- The CAIRSS email list will be used to call for volunteer peer reviewers.
- The CAIRSS Coordinator will check OAKList weekly for new contributions and updates and ask for a volunteer reviewer when necessary.
- If a repository manager contributes a new record, they should post an announcement to the CAIRSS email list to ask someone to review it.
- The CAIRSS copyright specialist (Luke Padgett) will provide assistance if there is any confusion/disagreement in interpreting an agreement.

# The Future

- Plans for long-term sustainability for the data may involve discussions with SHERPA about the possibility of further collaboration with the SHERPA-RoMEO data

# CAIRSS what next....?

- ERA 2010
- Budget
- COAR Confederation of Open Access Repositories
- ADT
- Scholarly Communication and Open Access
- Beyond 2010...?